ACTUATOR FAULT ESTIMATION IN WIND TURBINE USING A MODIFIED SLIDING MODE OBSERVER BASED ON LINEAR MATRIX INEQUALITY APPROACH

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Abstract

This paper presents a fault detection and isolation (FDI) method applied to a wind turbine system. The approach utilizes a nonlinear sliding mode observer (SMO) to effectively reconstruct faults in both the hydraulic pitch actuator and generator torque actuator of the wind turbine. A Linear Matrix Inequality (LMI) optimization approach is employed for the design. The blade pitch angle and generator torque in the wind turbine have significantly different orders of magnitudes, rendering them vulnerable to faults of different magnitudes. This discrepancy poses a challenge for the simultaneous reconstruction of faults. To resolve this challenge, a modification is made to the observer. To examine the effectiveness of the modified SMO, two fault scenarios were considered for the hydraulic pitch actuator and generator torque actuator. In the first case, faults are introduced separately, while in the second case, faults occur simultaneously. Simulation results demonstrate accurate detection, isolation, and reconstruction of these faults, whether in the case of separate or simultaneous fault occurrences.

Keywords: actuator fault reconstruction, faults separately, faults simultaneously, Modified sliding mode observer

List of Symbols/Acronyms

<table>
<thead>
<tr>
<th>Symbol</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>$c_0$</td>
<td>time constant [s]</td>
</tr>
<tr>
<td>$\omega_g$</td>
<td>generator angular speed [rad. s$^{-1}$];</td>
</tr>
<tr>
<td>$\omega_r$</td>
<td>rotor angular speed [rad. s$^{-1}$];</td>
</tr>
<tr>
<td>$\nu_w$</td>
<td>wind speed [m.s$^{-1}$];</td>
</tr>
<tr>
<td>$\omega_n$</td>
<td>natural pulsation [rad. s$^{-1}$].</td>
</tr>
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1. INTRODUCTION

In 2022, global wind energy capacity increased by 78 GW, reaching a total of 906 GW. 2023 is projected to be the first year to exceed 100 GW in new capacity, with a 15% year-on-year growth rate forecasted. Over the next five years (2023-2027), 680 GW of new capacity is expected, averaging 136 GW per year. By 2030, an additional 143 GW is anticipated, 13% higher than previous estimates, for a total of 1221 GW added from 2023 to 2030. These figures highlight the substantial growth and potential of wind energy on a global scale [1].

The rapid growth of wind turbines necessitates greater efficiency but faces complexities and susceptibility to faults due to environmental factors
and manufacturing defects [2]. Their remote, isolated locations make maintenance challenging, potentially leading to breakdowns that can influence electricity production. Consequently, there is a growing interest in employing FDI methods, especially for critical components like pitch and drive train systems, to address these challenges in wind turbine systems [3-4].

Specifically for fault detection purposes, a validated WTBM was developed by Odgaard et al. [5]. Building upon this model, numerous approaches have been introduced in recent years in the fields of model-based fault diagnosis (FD) and FTC for WTBM [6-7]. FDI in wind turbines aims to issue timely warnings for abnormal situations and locate their source. FDI methods fall into two categories: data-driven and model-based. Data-driven methods, such as those employing fuzzy systems and neural networks, can be effective but may experience delays due to the requirement for data collection [8]. Model-based FDI techniques for wind turbines employ computational representations to mimic how these systems function in both standard and malfunctioning states. These strategies hinge on matching the outcomes from these computational models to actual sensor readings to pinpoint and separate out faults. A commonly used approach in this field is the observer-based technique. This approach entails creating ‘observers,’ alternatively referred to as estimators or filters, which make use of sensor data to gauge the wind turbine’s internal conditions. When these computed conditions are set against real-world measurements, any discrepancies can be identified as faults, which can then be attributed to specific issues. Various specialized observer-based FDI methodologies have been designed, particularly for wind turbine systems [9-10]. Cho et al. [11] utilize a Kalman filter and inference algorithms to detect, isolate, and control faults in the blade pitch systems of floating wind turbines. In [12], an adaptive observer-based scheme using the Fast Adaptive Fault Estimation (FAFE) algorithm swiftly detects sensor and actuator faults, enabling controllers to stabilize and compensate for these faults more effectively than baseline methods. Most FDI methods for wind turbines rely on generating residuals, which compare actual system outputs to observer predictions. These approaches, however, are susceptible to false alarms due to environmental disturbances and model uncertainties. To be effective, FDI schemes must therefore be robust, minimizing sensitivity to these uncertainties while maintaining alertness to actual faults [13]. In [14], a robust fault estimation method is presented. The proposed observer-based scheme adeptly identifies sensor and actuator faults within wind turbines, employing a two-step approach to distinguish unknown inputs from actual faults. Shi and Patton [15] crafted a unique Active FTC system for large, non-linear rotor wind turbines using observer-based methods, leveraging Linear Matrix Inequality (LMI) within a Linear Parameter Varying (LPV) framework. The scheme focuses on hiding sensor faults and compensating for actuator faults.

Sliding Mode Observers are extensively employed for robust fault detection, isolation, and fault-tolerant control in wind turbines due to their inherent robustness [16-18]. While these techniques are generally effective, they do have a weak point: they often rely on predefined thresholds based on known fault behaviour, which presents a major limitation for this technique. In [19], a sliding mode observer is employed with a suggested modification for the nonlinear switching term to reconstruct sensor faults in wind turbines. The accuracy is satisfactory; however, actuator faults remain unaddressed.

This paper employs a 4.8 MW wind turbine benchmark model to analyze faults across different components. It introduces an FDI scheme capable of not only detecting but also accurately reconstructing faults, making it suitable for FTC schemes requiring knowledge of fault magnitudes. Additionally, a modified fault estimation approach based on the SMO is presented. A Matrix Inequality (LMI) optimization approach is utilized for the design of the SMO aimed at proficiently detecting, isolating, and estimating actuator faults in the WTBM impacting blade pitch angle actuator and generator torque actuator. This modification addresses the DSI of the observer for precise reconstruction, notably in simultaneous faults scenarios. The simulations are implemented within the MATLAB/Simulink environment. The structure of this paper is outlined as follows: Section 2 provides a concise overview of the Wind Turbine Benchmark Model (WTBM); Section 3 elaborates on the fault estimation approach, formulation of the actuator faults, suggested enhancements to the SMO, and numerical specifications for its parameters; Section 4 explores different fault scenarios and expounds on the simulation results; finally, Section 5 summarizes the conclusions derived from the study.

2. MODEL OF WIND TURBINE

This study is based on a model of a three-bladed horizontal axis wind turbine, similar to the one studied in [5]. The system layout comprises interconnected subsystems: the Blade & Pitch System, Drive Train, Converter, and Generator, as illustrated in Figure 1. Aerodynamic behaviour, influenced by blade pitch angles, rotor characteristics, and wind speed, powers the wind energy system by transferring aerodynamic torque from the drive train to the generator, where it is converted into electrical energy. A controller, detailed in [5], adjusts blade pitch angles and generator torque to meet operational requirements. The ensuing description elucidates the mathematical representation for each constituent of the WTBM depicted in Figure 1.
2.1. Subsystem: Blade - Pitch

This subsystem combines the aerodynamic model, blades, and pitch system, with the aerodynamic torque being determined by:

\[ \tau_r = \frac{\rho R^3 C_a(\beta(t), \lambda(t)) \omega_p^2}{2} \]  

(1)

The pitching system encompasses three actuators, each embedded with an internal controller. These actuators, denoted as actuator i (where i can take values 1, 2, or 3), are responsible for adjusting the pitch angle \( \beta_i \) of the blades. The following second-order transfer function represents this subsystem:

\[ \frac{\beta_i(s)}{\tau_{re,i}} = \frac{\omega_{ni}^2}{s^2 + 2\xi_i \omega_{ni} s + \omega_{ni}^2} \]  

(2)

In the absence of faults, all values of \( \beta_i, \omega_{ni} \), and \( \xi_i \) are equal. However, if faults occur, these values can vary from one another. In the subsequent analysis, only a single pitch actuator is taken into account.

2.2. Subsystem: Drive train

Utilizing a simplified two-mass model, the representation of the drive train system is achieved. This allows the drive train model to be depicted as:

\[ \begin{align*}
J_x \ddot{\omega}_y &= -(\frac{n_{ac} \omega_{n}}{s_{g}} + B_g) \omega_y + \frac{n_{ac} \omega_{n}}{s_{g}} \omega_y + \frac{n_{ac} \omega_{n}}{s_{g}} \theta - \tau \beta \\
J_y \ddot{\omega}_n &= \frac{n_{ac} \omega_{n}}{s_{g}} \omega_y - (B_{at} + B_t) \omega_n - K_{at} \theta + \tau_r \\
\dot{\theta} &= \omega_n - \frac{1}{s_{g}} \omega_y
\end{align*} \]  

(3-5)

2.3. Subsystem: Generator-Converter

In this block, mechanical energy is transformed into electrical energy. This subsystem is modeled by first-order transfer functions:

\[ \frac{\tau_{g}}{\tau_{gre}} = \frac{1}{1 + \frac{1}{\alpha_{gc}} s} \]  

(6)

The output power generated is expressed as:

\[ P_g = \eta_g \tau_{g} \omega_g \]  

(7)

\( \eta_g \) refers to the efficiency of the generator.

By combining and integrating the aforementioned subsystems, the wind system is represented in the state space using the following model:

\[ \begin{align*}
\dot{x}(t) &= A x(t) + B u(t), \\
y(t) &= C x(t)
\end{align*} \]  

(8-9)

The state vector, denoted as \( x = [\omega_g, \omega_r, \theta, \beta, \tau_{g}]^T \), represents the system's state. The control input vector, \( u = [\tau_{gre}, \tau_r, \beta_{re}]^T \), represents the inputs that control the system.

\[ B = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \end{bmatrix}, \quad C = \begin{bmatrix} 0 & 0 & 0 & 0 \end{bmatrix} \]  

\[ A = \begin{bmatrix} a_{11} & \frac{\eta_{at} \beta_{at}}{s_{g} \eta_{g}} & \frac{\eta_{at} \gamma_{at}}{s_{g} \eta_{g}} & 0 & 0 & -\frac{1}{s_{g}} \\ \frac{b_{at} \eta_{at} \beta_{at}}{s_{g} \eta_{g}} & B_{at} + B_t & -K_{at} & 0 & 0 & 0 \\ -\frac{1}{s_{g}} & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & -2\xi \omega_n & -\omega_n^2 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & -\alpha_{gc} \end{bmatrix} \]  

3. SCHEME FOR ESTIMATING FAULTS

3.1. Design of sliding mode observer

The system of equations (10)-(11) describes the wind system that can be affected by an actuator fault:

\[ \begin{align*}
\dot{x}(t) &= A x(t) + B u(t) + D f_{ac}(x, u) \\
y(t) &= C x(t)
\end{align*} \]  

(10-11)

Let \( A \in \mathbb{R}^{n \times n}, \quad C \in \mathbb{R}^{p \times n}, \quad B \in \mathbb{R}^{n \times m}, \) and \( D \in \mathbb{R}^{p \times m}, \) where \( p \geq q. \) Assuming that the matrices \( C \) and \( D \) are full rank, and that the function \( f_{ac} \) represents a bounded actuator fault satisfies:

\[ \|f_{ac}\| \leq \lambda \|u\| + \sigma \]  

(12)

Where: \( \lambda \) is a known positive scalar and \( \sigma \) is a known positive function.

Aiming to craft an observer, its primary function is to estimate the system's state vector \( \hat{x} \) and its output vector \( \hat{y} \) from the signals \( u(t) \) and \( y(t) \). With the system state assumed to be unknown, our ultimate objective is to ensure that the output error \( e_\nu(t) = \dot{\hat{y}}(t) - \dot{y}(t) \) promptly converges to zero, irrespective of any faults. Edwards and Spurgeon [19] proposed an observer:

\[ \dot{\hat{x}} = A \hat{x} + Bu - G_1 e_y + G_2 \dot{\theta}. \]  

(13)

\( \dot{\theta} \) the DIS, is given by

\[ \dot{\theta} = \begin{cases} -\kappa \frac{\|P_0 D_z\|}{\|e_y\|}, & \text{if } e_y \neq 0 \\ 0, & \text{otherwise} \end{cases} \]  

(14)

The function \( \kappa \) satisfies:

\[ \kappa > \frac{\|f_{ac}\|}{\|e_y\|} \]  

(15)

If the state estimation error \( e = \dot{x} - \dot{\hat{x}} \), then

\[ \dot{\epsilon} = A_\beta \epsilon + G_\eta \eta - D f_{ac} \]  

(16)

Where \( A_\beta = A - G_1 C. \)
The matrices $P_0$, $\bar{D}_2$, $G_1$, and $G_n$ are to be determined.

### 3.2. Observer Modifications

The wind turbine outputs, specifically $\omega_{\psi}$, $\omega_{\beta}$, $\beta$, and $\tau_\xi$ differ substantially in magnitude, with values around $1$ rad/s, $10^4$ rad/s, 1 deg, and $10^5$ N.m respectively. Equations (39) and (40) allow for the reconstruction of actuator faults, emphasizing the dependence on the scalar gain, nearly matching the fault’s peak magnitude. While the gain, $\kappa$, must be tailored for each output, the conventional SMO uses a static $\kappa$, hindering accurate fault detection for all outputs. Continually adjusting $\kappa$, especially with concurrent faults across various outputs, is unfeasible. As a solution, $\kappa$ is substituted with $\kappa' = \alpha \|P_0F_y\|$, and $F_y$ is replaced by $P_0F_y$, leading to a revised switching term:

$$\vartheta = -\kappa' \|P_0\bar{D}_2\| \frac{P_0F_y}{\|P_0F_y\|}$$  \hspace{1cm} (17)

This modification allows for a more adaptive approach, where $\alpha \|P_0F_y\|$ is utilized to adjust $\kappa$ based on the specific output being considered. The scalar $\alpha$ is chosen such that

$$\alpha > \lambda \|u\| + \sigma$$  \hspace{1cm} (18)

### 3.3. A framework for SMO design

The integration of Linear Matrix Inequalities (LMI) in fault reconstruction holds significant importance. LMIs provide a powerful mathematical framework, enabling precise modelling of dynamic relationships and establishing essential stability and performance conditions to ensure the validity of estimations. By optimizing observer gains through LMIs, the quality of fault reconstruction improves, and uncertainties inherent in operational variations are effectively managed, ensuring the robustness of estimations. This capability facilitates practical implementation, contributing to reliable and efficient fault reconstruction. Furthermore, Edwards et al. [19] propose a systematic method for computing gains $G_1$ and $G_n$, but this method does not fully exploit all available degrees of freedom. The following section explores the design of matrices $G_1$, $G_n$, and $P_0$ based on an LMI framework, aiming to maximize the utilization of all available degrees of freedom.

It is shown in [20] that the observer of the form (13) exists if and only if:

1. rank(\[CD\])=\[eq.
2. invariant zeros of (A,D,C) are stable

Furthermore, if these two conditions are satisfied, then there exist a change of coordinates $T_0$ such that the previous system can be written as:

$$\begin{align*}
\dot{x}(t) &= A_0x(t) + B_0u(t) + D_0f_{ac}(t, x, u) \\
y(t) &= C_0x(t)
\end{align*}$$  \hspace{1cm} (19)

$$\dot{y}(t) = \chi_y(t)$$  \hspace{1cm} (20)

where $\chi = T_0x$. The triple $(\bar{A}, \bar{D}, \bar{C})$ has the following structure:

$$\bar{A} = \begin{bmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{bmatrix}, \quad \bar{D} = \begin{bmatrix} 0 \\ D_2 \end{bmatrix}, \quad \bar{C} = \begin{bmatrix} 0 & T \end{bmatrix}$$  \hspace{1cm} (21)

Where $T \in \mathbb{R}^{p \times p}$ is orthogonal. The change of coordinates applied to relation (14) allows for obtaining

$$\dot{\xi} = A \xi + B \eta - G_1 \eta + G_n \theta$$  \hspace{1cm} (22)

And define $A_0 = A - G_1 \bar{C}$. The gain matrix $G_1$ is to be determined but $G_n$ is given by:

$$G_n = \left[ -L'T_\xi \right]$$  \hspace{1cm} (23)

Where $L \in \mathbb{R}^{(n-p) \times p}$ and has the structure $L = [L_0 \ 0]$ with $L \in \mathbb{R}^{(n-p) \times (n-p)}$ to be determined.

**Proposition:** If a positive definite Lyapunov matrix $P$ exists such that $PA_0 + A_0'P < 0$, with the specified structure:

$$P = \begin{bmatrix} P_1 & L \\ L' & P_0 + \lambda L'L \end{bmatrix} > 0$$  \hspace{1cm} (24)

Where $P_0 \in \mathbb{R}^{(n-p) \times (n-p)}$ and $P_0 \in \mathbb{R}^{pp}$, then the error in equation (16) is quadratically stable.

**Proof:** Let’s consider the quadratic form

$$v = \xi' P \xi$$  \hspace{1cm} (25)

as a candidate Lyapunov function where $\xi = T_0x$.

$$\dot{v} = \xi'(A_0P + PA_0')\xi + 2\xi' P_0 \vartheta - 2\xi' P_0D_2f_{ac}$$  \hspace{1cm} (26)

From (21), (23), and (24)

$$P_0 = \left[ \begin{array}{c} P_1 \\ L' \end{array} \right]$$  \hspace{1cm} (27)

Where $P_0 = T_0P_0'T_0$. Employing the specific structure of $L$ and $L_0D_2 = 0$ as a result

$$PD = \left[ \begin{array}{c} 0 \\ P_0D_2 \end{array} \right] = T_\xi P_0 \bar{D}_2$$  \hspace{1cm} (28)

Where $\bar{D}_2 = T\bar{D}_2$ which implies $\|\bar{D}_2\| = \|D_2\|$. By incorporating the modification of $\vartheta$ into equation (17), equation (26) becomes

$$\dot{v} = \xi'(A_0P + PA_0')\xi + 2\xi' P_0 \vartheta - 2\xi' P_0D_2f_{ac}$$

$$\leq \xi'(A_0P + PA_0')\xi - 2\alpha \|P_0F_y\|^2 \|P_0D_2\| + 2\|\bar{D}_2\| \|\lambda\| \|u\| + \sigma \|P_0F_y\|^2 \|P_0F_y\|$$

$$\dot{v} \leq \xi'(A_0P + PA_0')\xi - 2I$$

Where...
Since \( \hat{\psi} < 0 \) for all \( \xi \neq 0 \) and quadratic stability is proved.

### 3.4. Procedural Synthesis of Matrices \( P \) and \( G_i \)

A method for observer design through the use of LMIs was put forth by Alwi et al. [20]. This design approach can be succinctly described as:

Matrices \( P \) and \( G_i \) are selected to ensure satisfaction of the subsequent matrix inequality

\[
P A + A^T P + \frac{1}{\epsilon} C^T V_{w}^{-1} C + P U_w P < 0
\]

(30)

The choice \( Y^T = V_{w}^{-1} C \) implies

\[
PA + A^T P - V_{w}^{-1} C + P U_w P < 0
\]

(31)

And \( G_i = P^{-1} C^T V_{w}^{-1} \).

The problem under consideration involves minimizing \( \text{trace}(P^{-1}) \) while satisfying inequality (31). The matrix inequality in (31), by using the Schur complement, is equivalent to

\[
\begin{bmatrix}
P & I \\
-I & -X
\end{bmatrix} < 0
\]

(33)

Where \( X, P \in \mathbb{R}^{n \times n} \) are s.p.d matrix variables. Standard LMI software can be employed to resolve simultaneously LMIs (32) and (33). This enables the synthesis of \( P \), which has the structure:

\[
P = \begin{bmatrix}
P_{11} & P_{12} \\
P_{21}^T & P_{22}
\end{bmatrix} > 0
\]

(34)

Where \( P_{11} \in \mathbb{R}^{(n-p) \times (n-p)} \), \( P_{22} \in \mathbb{R}^{p \times p} \) and \( P_{12} = [P_{121} \quad 0] \) with \( P_{121} \in \mathbb{R}^{(n-p) \times (p-q)} \), \( P_{22} \in \mathbb{R}^{p \times p} \), define:

\[
L = \begin{bmatrix}
P_{11}^{-1} P_{121} & 0
\end{bmatrix}
\]

(35)

The matrix \( P_{0} \), which appears in (37), is given by:

\[
P_{0} = T (P_{22} - P_{22}^{1} P_{11}^{-1} P_{22}^{11}) T^T
\]

(36)

To exploit the gains \( G_l \) and \( G_n \) in relation (13), it is necessary to multiply them by the inverse of a transformation matrix \( T_0 [19] \), as in equations (37)

\[
G_l = T_0^{-1} P^{-1} C V_{w}^{-1} \quad \text{and} \quad G_n = T_0^{-1} [L T]^T P_0^{-1}
\]

Subsequently, a coordinate transformation is implemented:

\[
T = \begin{bmatrix}
T_{n-p} & L \\
0 & T
\end{bmatrix}
\]

transforms the triplet \( (A, D, C) \) to:

\[
\tilde{A} = \begin{bmatrix}
\tilde{A}_{11} & \tilde{A}_{12} \\
A_{21} & A_{22}
\end{bmatrix}, \quad \tilde{D} = \begin{bmatrix}
0 & \tilde{C} = [0 \quad I_p]
\end{bmatrix}
\]

(38)

Where \( \tilde{A}_{11} = A_{11} + L A_{21} \) is stable and \( \tilde{D}_2 = T D_2 \)

The reconstructed actuator fault is given by:

\[
f_{ac} \approx \tilde{D}_2 P^{-1} \theta_{eq}
\]

(39)

Where \( \theta_{eq} \) is the equivalent DIS, which has the following structure:

\[
\theta_{eq} = -\alpha \| P_{0} e_{y} \| \| P_{0} D_{2} \| P_{0} e_{y} \| / \| P_{0} e_{y} \| + \delta
\]

(40)

\( \alpha \) is chosen to be 2.5 \( 10^4 \), while \( \delta \) represents a small positive numerical value.

In this paper, the matrix \( D \) is chosen to be equal to \( B \).

### 3.5. Formulation of the actuator faults

Fault reconstruction holds crucial significance, particularly in wind energy, where it enhances operational reliability by identifying and addressing potential failures. Facilitating early detection of anomalies, it strengthens operational safety, minimizes disruptions, and optimizes system performance. Additionally, fault reconstruction contributes to reducing maintenance costs by intervening before issues lead to major failures. It also provides a better understanding of system operation in the presence of faults, guiding the development of more resilient and robust solutions. In summary, fault reconstruction plays a pivotal role in proactive maintenance, ensuring safety, and enhancing operational efficiency in critical contexts such as wind energy.

Five specific faults can affect pitch actuators: wear of the pump, leakage in the hydraulic system, high air content in the hydraulic oil, blockage in the valve, and blockage in the pump. Notably, valve blockage and pump blockage exhibit similar effects on the pitch system, leading to their classification as the same fault in many cases. On the other hand, hydraulic leakage, pump wear, and high air content in the hydraulic oil are considered faults that alter the dynamics of the pitch system, causing a deceleration in control actions and subsequently resulting in suboptimal power production. Consequently, these three faults are treated similarly in the analysis.

The modifications in the dynamics of the pitch system stem from the changes in parameters \( \xi_l \) and \( \omega_n \) denoted in equation (2), where they take defective values \( \xi_l \) and \( \omega_n \). These altered values
affect the matrices A and B in the state-space representation, in equation (8).

This paper specifically focuses on hydraulic leakage in the considered wind turbine model. Two scenarios are examined: an extreme fault and a moderate fault. The parameters associated with these scenarios are organized and presented in Table 1.

<table>
<thead>
<tr>
<th>Fault scenario</th>
<th>( \omega_1 (\text{rad/s}) )</th>
<th>( \xi_f )</th>
<th>( \alpha_f )</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fault-free</td>
<td>( \omega_n = 11.11 )</td>
<td>( \xi = 0 )</td>
<td>0</td>
</tr>
<tr>
<td>Extreme fault</td>
<td>( \omega_1 = 3.42 )</td>
<td>( \xi_f = 0.9 )</td>
<td>1</td>
</tr>
<tr>
<td>Moderate fault</td>
<td>( \omega_f = 6.72 )</td>
<td>( \xi_f = 0.2 )</td>
<td>0.7</td>
</tr>
</tbody>
</table>

\( \omega_n \) and \( \xi \) are the fault free parameters, \( \omega_1 \) and \( \xi_f \) are the limit parameters in hydraulic leakage that correspond to 50% of the nominal pressure, \( \omega_f \) and \( \xi_f \) are the parameters faulty in hydraulic leakage. It can be written as:

\[
\omega_f = \omega_n + \alpha_f (\omega_f - \omega_n^2) \tag{41}
\]

\[
2\xi_f \omega_f = 2\xi_\omega_n + \alpha_f (2\xi_\omega_1 - 2\xi_\omega_n) \tag{42}
\]

Where \( \alpha_f \in [0,1] \) is the fault indicator for hydraulic leakage \( \alpha_f = 0 \) in free fault and \( \alpha_f = 1 \) if the pressure loses half of its nominal value, it is noted that \( \xi_f(t) \geq 0 \) for all \( t \) since a leak cannot be stopped without system repair.

The generator and converter system can have two types of faults: a shift in dynamics due to changes in the generator’s specific parameter \( \alpha_{gc} \), or an offset in the converter’s torque. These issues arise from internal complications, like faults in the converter’s electronics or inaccuracies in torque estimation [5]. These problems can lead to serious consequences, notably a slower control of torque due to changes in dynamics. The offset fault, which leads to below-optimal wind turbine power output and develops quickly, is deemed a medium-severity issue. The previously stated faults that influence the actuation of the generator are modelled as:

\[
f_g = -\alpha_{gc} \tau_g + \alpha_{gc} \tau_{gref} + f_{tg} \tag{43}
\]

Where: \( f_{tg} \) represents the fault affecting the actuation of the generator and converter.

The matrices \( A_f \) and \( B_f \) in faulty condition are written as:

\[
A_f = A + \Delta A \tag{44}
\]

\[
B_f = B + \Delta B \tag{45}
\]

Where \( \Delta A \) and \( \Delta B \) represent the deviation from nominal operation due to the fault.

Define \( h = \omega_f^2 - \omega_n^2 \), \( g = 2\xi_\omega_1 - 2\xi_\omega_n \omega_n \) and \( f_{ac} = [f_1 \ f_2 \ f_3]^T \).

By employing equations (41), (42), and (43), along with the fact that \( D \) is equal to \( B \) in equation (10), and by establishing an identification between the relationships expressed in equations (44) and (45) on one side, and equation (10) on the other side, we can deduce the following:

\[
f_1 = \frac{f_{tg}}{g_{ac}} f_2 = 0, \text{ and } f_3 = \frac{\alpha_f}{\omega_1^2} (h \beta_f - g \bar{\beta}) \tag{46}
\]

Therefore, the reconstruction of \( f_{ac} \) provides access to the fault signal \( f_{sg} \) and the fault indicator \( \alpha_f \).

### 3.6. Observer parameters

The primary energy source for the wind system is the aerodynamic torque, as indicated by equation (1), serving as the second input for equation (8). The wind turbine controller provides the first and third inputs. Detailed technical characteristics and numerical values of the parameters for the simulated wind turbine in this study can be found in Odgaard et al. [5]. The suggested observer is based on the structure outlined in equation (13), with alterations made to the switching term indicated in equation (17). The process involves the application of an algorithm akin to the one elucidated in [22], the design parameters were set to be:

\[
U_w = 0.6I_6, \ V_w = I_5, \text{ and } \delta = 1 e^{-3}
\]

The matrices \( P_0 \) and \( \bar{D}_2 \) from (36) are given as:

\[
P_0 = \begin{bmatrix}
0.0021 & 0.0010 & 0 & 0 & 0.0000 \\
0.0010 & 1.4926 & 0 & 0 & 0.0000 \\
0 & 0 & 0.7158 & 3.7298 & 0 \\
0 & 0 & 3.7298 & 35.1656 & 0 \\
0.0000 & 0.0000 & 0 & 0 & 122.6310
\end{bmatrix}
\]

\[
\bar{D}_2 = \begin{bmatrix}
0 & 0 & 0 \\
0 & 0 & 1.81e^{-8} \\
0 & 0 & 1.234e2 \\
0 & 0 & 0.0082 \\
50 & 0 & 0
\end{bmatrix}
\]

The associated gains from the observer representation in (13) are:

\[
G_1 = \begin{bmatrix}
488.7675 & 0.8309 & 0 & 0 & -0.0000 \\
0.8309 & 0.6756 & 0 & 0 & 0.0000 \\
1.0412 & 0.0055 & 0 & 0 & 0.0000 \\
0 & 0 & 3.1233 & -0.3313 & 0 \\
0 & 0 & -0.3313 & 0.0636 & 0 \\
-0.0000 & 0.0000 & 0 & 0 & 0.0082
\end{bmatrix}
\]

\[
G_n = \begin{bmatrix}
487.9009 & -0.3226 & 0 & 0 & -0.0000 \\
0 & -0.3226 & 0.6702 & 0 & -0.0000 \\
0 & 0 & 3.1233 & -0.3313 & 0 \\
0 & 0 & -0.3313 & 0.0636 & 0 \\
-0.0000 & 0.0000 & 0 & 0 & 0.0082
\end{bmatrix}
\]

### 4. SIMULATION RESULTS

The objective of this study is to reconstruct actuator faults in the generator/converter and pitch system. Firstly, these faults are considered separately and then simultaneously. To test the effectiveness of our fault reconstruction approach, a signal representing the fault was injected at the system
input, which was initially unknown to the system. The previously described method was then used to reconstruct the fault and evaluate the performance of the adopted reconstruction approach. The wind speed profile used in the simulation shown in Figure 2, which is highly variable, is based on real wind speed data sourced from a wind farm [5].

4.1. Faults separately
Two scenarios involving hydraulic leakage faults have been established for the hydraulic pitch actuator: an extreme fault and a moderate fault. The pitch subsystem parameters, along with the associated fault indicators, can be found in Table 1. The first of these fault scenarios encompasses a sudden decrease in hydraulic pressure caused by a leak within the hydraulic system. The corresponding actual fault indicator is $\alpha_f = 1$. This fault is considered from 20 s to 45 s, during which the parameters $\omega_n$ and $\xi$ undergo a change in values, transitioning to $\omega_f$ and $\xi_f$ respectively. By employing the proposed SMO, the actuator fault $\hat{f}_{ac}$ is reconstructed. The fault indicator $\alpha_f$ and its estimated $\hat{\alpha}_f$ are presented in Figure 3. This figure clearly demonstrates the prompt detection and precise reconstruction of the fault, the maximal relative gap is 0.5%.

The generator fault is also simulated. It runs from 75 s to 90 s. The fault is intermittent and introduces a consistent amplitude bias. The real generator fault $f_g$ and its estimated $\hat{f}_{g}$ are illustrated in Figure 5. The acquired outcome demonstrates that the reconstructed fault closely and precisely mirrors the actual fault, the relative gap is: $3.3 \times 10^{-3}\%$.

4.2. Faults simultaneously
In this case, both the leakage faults in the pitch system and the fault in the generator are simultaneously treated. Figure 6 illustrates this situation: the leakage fault is treated in an extreme fault condition between 30 s and 50 s, while the fault affecting the generator torque occurs between 35 s and 55 s. Consequently, these two faults happen concurrently within the $[35s, 50s]$ timeframe. The maximum relative gap for the leakage fault is determined to be 1.7%, whereas for the generator fault, it is calculated to be $3.4 \times 10^{-3}\%$. Hence, the simulation results confirm the precise identification and reconstruction of the faults. This supports the modification introduced to the SMO, as mentioned in paragraph 3.

5. CONCLUSION
In this study, a sliding mode observer enhanced with a modified DIS is presented to estimate the pitch actuator fault and generator torque actuator fault in wind turbine systems. Two scenarios are detailed. In the first, separate faults are assessed, with the hydraulic leakage fault simulated under both extreme and moderate conditions, followed by the generator actuator fault. In the second scenario,
both the hydraulic leakage fault (under extreme conditions) and the generator torque actuator fault are simulated concurrently, using the same switching term of the SMO. Results indicate the accurate estimation of the hydraulic leakage fault using the introduced fault indicator and the precise reconstruction of the generator actuator fault. This underscores the efficacy of the modification made to the SMO’s switching term.

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